

# UNIVERSITY OF ILLINOIS

URBANA-CHAMPAIGN • CHICAGO • SPRINGFIELD

February 04, 2014

Darold T Barnum



Re: FOIA #14-028

Dear Mr. Barnum:

I write to respond to your Freedom of Information request dated January 20, 2014, and received in my office on January 21, in which you requested:

- “1. I request certain reports, electronic presentations, and other written documents presented or given or sent to: the Board of Trustees, the President, the Chief Financial Officer, the three Chancellors, or the University Senates Conference (including its committees), from January 1, 2012, to the present.
2. The documents of interest are those that forecast the financial future of the university, especially those addressing risk and uncertainty.
3. I am not requesting any preliminary recommendations or documents in which opinions are expressed or policies or actions are formulated; if any such information is contained within other documents then it should be redacted. I am only requesting documents presenting estimates of various future financial scenarios that might or might not inform subsequent financial recommendations, decisions and policies.
4. These should include (but not be limited to) documents employing quantitative, qualitative or subjective methodologies to identify future financial expectations. A few examples include:

subjective estimates,  
decision-making alternatives under uncertainty,  
decision-making alternatives under risk,  
deterministic modeling,  
stochastic modeling,  
decision tree and influence diagrams,  
discovery and management of losses,  
coping strategies such as minimax and maximin matrices  
prioritization & stability analysis,  
resource allocation decisions based on states of nature under risk and/or uncertainty,

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analytical hierarchy processes,  
sensitivity analysis, and  
black swan analysis.

5. For example, top UI Administrators have frequently expressed concern about the uncertainty about the size of future revenue flows from the state, uncertainty about the magnitude of delays in receiving state funds (variations in cash flows), and uncertainty about the ability to further raise tuition. I am requesting all documents upon which these concerns are based as limited by the Paragraph 1 above, as well as any other documents meeting the criteria outlined in Paragraphs 1 - 4.”

Documents responsive to part of your request are available.

To points 1 and 5 of your request, information is available on the Board of Trustees’ publicly accessible website. On each Board meeting materials page, records of possible interest to you are named with one of the terms listed below. As item names are not always the same from year to year, there may be other terms also of interest, and some documents named with these terms may not be of interest. This information was compiled by reviewing each of the lists of Board materials provided for public access at <http://www.bot.uillinois.edu/agendas-and-material>.

Annual report  
Bond  
Budget  
Cost Recovery  
Economic  
Fees  
Financial  
Illinois Report  
Insurance  
Investment  
Liquidity  
Pension  
Quality and risk  
Quarterly report  
Revenue Bonds  
Risk management  
Summary of Operations  
Tuition

The second point of your request does not contain a document request.

Documents responsive to the third point of your request are general, statewide COGFA reports that are available online at <http://cgfa.ilga.gov/>. Some of the records available on the Board page may also be responsive to this portion of your request.

No documents exist responsive to point 4 of your request.

Should you wish to inspect or receive a physical copy of these documents, please call Melanie Kuehn at the phone number below and she will provide you with all necessary details.

You have a right, under the law, to seek a review of this response by the Public Access Counselor (PAC) in the Office of the Attorney General. The PAC may be reached by phone at 217-782-1396, by email to [publicaccess@atg.state.il.us](mailto:publicaccess@atg.state.il.us), or by postal mail at the Public Access Bureau, 500 S. 2nd Street, Springfield, Illinois 62706. You also have the right to seek judicial review under section 11 of this Act.

If you have questions for our office, please contact 217-333-6400.

Sincerely,

Thomas P. Hardy  
Executive Director  
and Chief Records Officer